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JC978 U.S. PTO
09/928618
08/13/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): LATOUR, Jean-Francois

Assignee: Sun Microsystems, Inc.

Title: METHOD AND APPARATUS FOR RESOURCE ACCESS
SYNCHRONIZATION

Serial No.: Unknown Filing Date: Herewith

Examiner: Unknown Group Art Unit: Unknown

SUN Docket No.: P5477 US

August 13, 2001

Assistant Commissioner For Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.56 and 1.97

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. §§ 1.56 and 1.97, Attorneys for Applicant hereby invite the Examiner's attention to the references listed on the accompanying revised PTO Form 1449 entitled List of References Cited by Applicant.

Identification of references listed on the accompanying PTO Form 1449 is not to be construed as an admission of Applicant or Attorneys for Applicant that such references are available as prior art against the subject application. Further the right is reserved to antedate any included reference in accordance with standard procedure.

This submission is understood to complement the results of the Examiner's own independent search. The submission should not be construed as a representation that a search was made or that the cited references are inclusive of all the relevant and material citations that may be available publicly.

X (New Application) Copies of each cited publication and U.S. and foreign patent, except for pending U.S. applications, are submitted herewith, along with a concise explanation of foreign language publications (if any).

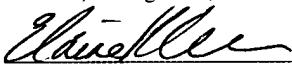
Applicant respectfully requests that the listed references be considered by the Examiner and be made of record in the application identified above. The Examiner is requested to initial and return the enclosed PTO Form 1449 in accordance with MPEP § 609.

37 C.F.R. § 1.97(b). No fee is believed due with this statement, because (check all that apply):

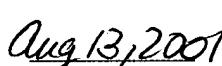
- (1) It is being filed within 3 months of the application filing date; OR
- (2) It is being filed within 3 months of entry of a national stage; OR
- (3) It is being filed before the mailing date of the first Office Action on the merits.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account Number 19-4974.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to : Assistant Commissioner for Patents, Washington, D. C. 20231, on August 13, 2001.



Attorney for Applicant(s)



Date of Signature

Respectfully submitted,



Elaine K. Lee
Attorney for Applicant(s)
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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	Unknown
				Filing Date	8/13/01
				First Named Inventor	LATOUR, Jean-Francois
				Group Art Unit	Unknown
				Examiner Name	Unknown
Sheet	1	of	2	Attorney Docket Number	P5477 US

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U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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Substitute for form 1449B/PTO				<i>Complete if Known</i>	
				Application Number	Unknown
				Filing Date	8/13/01
				First Named Inventor	FRANCOIS, Jean-Latour
				Group Art Unit	Unknown
				Examiner Name	Unknown
Sheet	2	of	2	Attorney Docket Number	P5477 US

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.		
	4	JANG SEUNG-JU et al: "Spin-Block Synchronization Algorithm In The Shared Memory Multiprocessor System", OPERATING SYSTEMS REVIEW (SIGOPS), US, ACM HEADQUARTER, New York; Volume 28, No. 4; October 1, 1994; pages 15-30		
	5	MELLOR-CRUMMEY, J.M. et al: "Algorithms For Scalable Synchronization On Shared-Memory Multiprocessors", ACM TRANSACTIONS ON COMPUTER SYSTEMS, US, ASSOCIATION FOR COMPUTING MACHINERY, New York; Volume 9, No. 1; February 1, 1991; pages 21-65		

Examiner Signature		Date Considered	
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(1449B/PTO Modified)

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.